The Gaming Regulations, 2007

being

Chapter A-18.011 Reg 5 (effective June 1, 2008) as amended by Saskatchewan Regulations 70/2012, 57/2015 and 79/2017.

NOTE:

This consolidation is not official. Amendments have been incorporated for convenience of reference and the original statutes and regulations should be consulted for all purposes of interpretation and application of the law. In order to preserve the integrity of the original statutes and regulations, errors that may have appeared are reproduced in this consolidation.

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CHAPTER A-18.011 REG 5

The Alcohol and Gaming Regulation Act, 1997

PART 1 Preliminary Matters

Title

1 These regulations may be cited as *The Gaming Regulations*, 2007.

Definitions

- 2 In these regulations:
 - "Act" means The Alcohol and Gaming Regulation Act, 1997; (« Loi »)
 - **"bingo event"** means a series of bingo games of a minimum of two hours' and a maximum of six hours' duration; (« *activité de bingo* »)
 - "break-open lottery" means a lottery scheme in which, to determine whether a prize has been won, a participant must break open a ticket; (« loterie de billets en pochette »)
 - "casino" means a place that is owned, leased or occupied by the Saskatchewan Gaming Corporation or the Saskatchewan Indian Gaming Authority for the purpose of playing games of chance, and includes all facilities that are ancillary to or operated in conjunction with that place; (« casino »)
 - "charitable gaming event" means a gaming event for which a licence has been issued to conduct and manage a bingo event held alone or in conjunction with one or both of the following:
 - (a) a break-open lottery;
 - (b) a raffle; (« activité de jeux de hasard caritatifs »)
 - "exhibition table games licence" means a licence issued by the authority to an agricultural or exhibition association in accordance with clause 207(1) (c) of the *Criminal Code*, authorizing the association to operate table games during a fair or exhibition hosted by the association; (« *licence d'exhibition de jeux sur table* »)
 - **"gaming area"** means the physical area within a casino in which games of chance are located as approved by the authority; (« *aire de jeux* »)
 - **"gaming employee"** means gaming employee as defined in section 3; (*« préposé aux jeux de hasard »*)

"gaming supplies or services" means:

(a) the provision of the use or possession of a gaming establishment if the owner of the gaming establishment has an interest in the operation or conduct and management of a lottery scheme carried on in the gaming establishment;

- (b) the sale, rental, lease, gift, exchange, loan, printing, publication, distribution or manufacturing of any gaming supplies, including any lot, card, ticket, paper, slip, symbol, token, coin, machine, device, equipment or game;
- (c) the provision of management or consulting services with respect to the operation or conduct and management of a lottery scheme;
- (d) the provision, distribution, installation, maintenance or repair of a surveillance or security system for any premises kept for the operation or conduct and management of a lottery scheme;
- (e) the manufacture, provision, distribution, installation, maintenance or repair of gaming equipment;
- (f) the provision of gaming employees;
- (g) the provision of training to persons in the installation or maintenance of gaming equipment, in the operation or playing of a lottery scheme or in any other gaming-related aspect of the operation or conduct and management of a lottery scheme; or
- (h) the provision of any other supplies or services that, in the authority's opinion:
 - (i) could influence the outcome of a lottery scheme; or
 - (ii) are integral to the operation or conduct and management of a lottery scheme; (« fournitures pour jeux de hasard ou services relatifs aux jeux de hasard »)

"gaming technical services area" means the physical area within a casino where electronic gaming equipment inventory is stored or where the servicing of electronic gaming equipment occurs; (« aire des services techniques pour le matériel de jeux »)

"monte carlo' means a lottery scheme in which one or more of the following games may be played:

- (a) wheel of fortune;
- (b) blackjack;
- (c) any other game that the authority authorizes from time to time for inclusion in monte carlo; (« *monte carlo* »)

"on-reserve employee" means on-reserve employee as defined in section 3.1; (« préposé en réserve »)

"on-reserve supplier" means a supplier of either or both of the following to an on-reserve charitable gaming licensee:

- (a) gaming supplies or services related to charitable gaming;
- (b) non-gaming supplies or services related to charitable gaming; (*« fournisseur en réserve »*)

"raffle" means a lottery scheme in which tickets are sold for a chance to win a prize; (« *tombola* »)

"supplier" means a person who, for compensation or the promise of compensation, either alone or through a registered gaming employee or agent, supplies or distributes gaming supplies or services or non-gaming supplies or services to another for the operation or conduct and management of a lottery scheme, but does not include a person who has no interest in the operation or conduct and management of the lottery scheme other than to provide an interest in or the use or possession of the premises in which the lottery scheme is operated; (« fournisseur »)

"Texas Hold'em poker" means a lottery scheme in which the card game of Texas Hold'em poker is played in tournament style; (« poker Texas Hold'em »)

"Western Canada Lottery Corporation" means the Western Canada Lottery Corporation incorporated pursuant to the Canada Business Corporations Act (Canada); (« Société de la loterie Western Canada »)

"wheel of fortune" means a gambling device bearing some resemblance to a revolving wheel with sections indicating chances taken or bets placed. (« roue de fortune »)

4 Aug 2017 SR 79/2017 s3.

Gaming employee

- **3** For the purposes of the Act and these regulations, **"gaming employee"** means an individual who, for compensation or the promise of compensation, is employed:
 - (a) by a supplier and has access to the gaming area, gaming technical services area or other secure areas of a casino to which the public does not have access:
 - (b) by a person licensed by the authority pursuant to section 207 of the $Criminal\ Code$ for duties directly associated with the operation of a lottery scheme; or
 - (c) by the Saskatchewan Gaming Corporation, the Saskatchewan Indian Gaming Authority or the Western Canada Lottery Corporation.

4 Aug 2017 SR 79/2017 s3.

On-reserve employee

- **3.1** For the purposes of the Act and these regulations, "on-reserve employee" means an individual who, for compensation or the promise of compensation, is employed by an on-reserve charitable gaming licensee, including:
 - (a) in the case of an on-reserve charitable gaming licence issued by the Indigenous Gaming Regulators, Inc. to the Saskatchewan Indian Gaming Authority, an employee of the Saskatchewan Indian Gaming Authority whose primary function is in relation to table games; and
 - (b) an individual employed by a person licensed by a First Nation gaming licensing authority pursuant to clause 207(1)(b) of the *Criminal Code* for duties directly associated with the operation of a charitable gaming event.

19 Jne 2015 SR 57/2015 s4.

Prescribed gaming regulators

- 4 The following persons are prescribed as gaming regulators:
 - (a) in the case of the authority:
 - (i) the person appointed pursuant to subsection 10(1) of the Act;
 - (ii) any person who reports directly to the person mentioned in subclause (i), with the exception of support staff; and
 - (iii) any person appointed or to whom powers are delegated pursuant to clause 10(2)(c), (d) or (e) of the Act in relation to lottery schemes or horse racing;
 - (b) in the case of a First Nation gaming licensing authority, all employees of the First Nation gaming licensing authority, with the exception of support staff.

 $19 \; \mathrm{Oct} \; 2007 \; \mathrm{cA}\text{-}18.011 \; \mathrm{Reg} \; 5 \; \mathrm{s4}.$

4.1 Repealed. 4 Aug 2017 SR 79/2017 s4.

PART II **Exemptions**

Exempting certain suppliers of non-gaming supplies or services from registration

- 5(1) Subject to sections 8 and 8.1, all suppliers of non-gaming supplies or services and all on-reserve suppliers of non-gaming supplies or services are exempt from the requirement to obtain a certificate of registration to act as a supplier or as an on-reserve supplier, as the case may be, except suppliers of non-gaming supplies or services and on-reserve suppliers of non-gaming supplies or services that provide the following:
 - (a) employees who work in the gaming area of a casino, or areas not normally open to the public, on an ongoing basis without escort by a gaming employee;
 - (b) financial services, other than those services described in subsection (2);
 - (c) services that have an influence on the layout and design of a casino.
- (2) Notwithstanding subsection (1), a supplier of non-gaming supplies or services or an on-reserve supplier of non-gaming supplies or services is exempt from the requirement to obtain a certificate of registration to act as a supplier or an on-reserve supplier, as the case may be, if:
 - (a) the business of the supplier or the on-reserve supplier is regulated by the Government of Saskatchewan or Canada, or by an agency of either of those governments, and the regulating body carries out due diligence investigations that are satisfactory to the authority; or
 - (b) the supplier or the on-reserve supplier is a member of a professional organization established by statute to regulate the members of the professional organization.

19 Jne 2015 SR 57/2015 s6.

Exempting certain suppliers of gaming supplies or services from registration

- **6**(1) Subject to section 8, a supplier of gaming supplies or services is exempt from the requirement to obtain a certificate of registration to act as a supplier if:
 - (a) the business of the supplier is regulated by the Government of Saskatchewan or Canada, or by an agency of either of those governments, and the regulating body carries out due diligence investigations that are satisfactory to the authority;
 - (b) the supplier is a member of a professional organization established by statute to regulate the members of the professional organization; or
 - (c) the supplier is a site contractor for video lottery terminals.
- (2) Subject to section 8.1, an on-reserve supplier of gaming supplies or services is exempt from the requirement to obtain a certificate of registration to act as an on-reserve supplier if:
 - (a) the business of the on-reserve supplier is regulated by the Government of Saskatchewan or Canada, or by an agency of either of those governments, and the regulating body carries out due diligence investigations that are satisfactory to the authority; or
 - (b) the on-reserve supplier is a member of a professional organization established by statute to regulate the members of the professional organization.

19 Jne 2015 SR 57/2015 s6.

Exemption from registration re gaming directors

- 7 Subject to section 8, the following gaming directors are exempt from the requirement to obtain a certificate of registration to act as a gaming director:
 - (a) a director of the Western Canada Lottery Corporation;
 - (b) a director of a supplier that has been granted a certificate of registration by the authority that authorizes the supplier to act as a supplier, not including a supplier that is also the Saskatchewan Indian Gaming Authority.

19 Oct 2007 cA-18.011 Reg 5 s7.

Exempting certain employees from registration

- **7.1**(1) Subject to section 8, the following gaming employees are exempt from the requirement to obtain a certificate of registration to act as a gaming employee:
 - (a) an individual employed for duties directly associated with the operation of:
 - (i) a class C charitable gaming event; or
 - (ii) a bingo event using mass media communication methods:
 - (b) a retail lottery employee employed by the Western Canada Lottery Corporation or its agents;
 - (c) a casino operator employee providing production assistance services for performances and events in a casino, if the term of employment is for less than seven consecutive days;

- (d) an individual working as a bingo runner;
- (e) an individual who acts as a bona fide volunteer for, or who is employed for purposes other than in connection with a lottery scheme by, a person licensed pursuant to section 207 of the *Criminal Code*;
- (f) an employee of a video lottery terminal site contractor.
- (2) Subject to section 8.1, the following on-reserve employees are exempt from the requirement to obtain a certificate of registration to act as an on-reserve employee:
 - (a) an individual employed for duties directly associated with the operation of:
 - (i) a class C charitable gaming event; or
 - (ii) a bingo event using mass media communication methods;
 - (b) an individual working as a bingo runner;
 - (c) an individual who acts as a bona fide volunteer for, or who is employed for purposes other than in connection with a lottery scheme by, a person licensed pursuant to clause 207(1)(b) of the *Criminal Code*.

19 Jne 2015 SR 57/2015 s7.

Authority may require registration

- **8**(1) Notwithstanding sections 5 to 7.1, if the authority is of the opinion that it is in the public interest to do so, the authority may:
 - (a) require a supplier to obtain a certificate of registration to act as a supplier;
 - (b) require a gaming director to obtain a certificate of registration to act as a gaming director; and
 - (c) require a gaming employee to obtain a certificate of registration to act as a gaming employee.
- (2) If the authority is of the opinion that a certificate of registration is required pursuant to subsection (1), the authority shall provide to the affected party a written notice that contains:
 - (a) a description of the authority's decision pursuant to subsection (1); and
 - (b) a statement that the affected party is required to obtain the appropriate certificate of registration pursuant to the Act and these regulations.
- (3) Any supplier, gaming director or gaming employee who receives a notice pursuant to subsection (2) and fails to obtain the appropriate certificate of registration is not authorized to act as a supplier, gaming director or gaming employee.

28 Sep 2012 SR 70/2012 s6.

First Nation gaming licensing authority may require registration

- **8.1**(1) Notwithstanding sections 5 to 7.1, if a First Nation gaming licensing authority is of the opinion that it is in the public interest to do so, the First Nation gaming licensing authority may:
 - (a) require an on-reserve supplier to obtain a certificate of registration to act as an on-reserve supplier; and
 - (b) require an on-reserve employee to obtain a certificate of registration to act as an on-reserve employee.

- (2) If a First Nation gaming licensing authority is of the opinion that a certificate of registration is required pursuant to subsection (1), the First Nation gaming licensing authority shall provide to the affected party a written notice that contains:
 - (a) a description of the First Nation gaming licensing authority's decision pursuant to subsection (1); and
 - (b) a statement that the affected party is required to obtain the appropriate certificate of registration pursuant to the Act and these regulations.
- (3) Any on-reserve supplier or on-reserve employee who receives a notice pursuant to subsection (2) and fails to obtain the appropriate certificate of registration is not authorized to act as an on-reserve supplier or on-reserve employee, as the case may be.

19 Jne 2015 SR 57/2015 s8.

PART II.1 Retention of Prizes

Operator's right to retain prizes

8.2(1) In this section:

"excluded person" means a person who is prohibited from entering any casino in Saskatchewan:

- (a) pursuant to clause 147.2(1)(b) of the Act; or
- (b) through participation in a self-exclusion program; (« exclu »)

"operator" means operator as defined in section 147.1 of the Act; (« exploitant »)

- "self-exclusion program' means self-exclusion program as defined in section 147.1 of the Act. (« programme d'autoexclusion »)
- (2) An operator may establish policies and procedures that allow the operator to retain any prizes of a lottery scheme carried on in the operator's casinos that would otherwise be awarded to a person if the person were not an excluded person.
- (3) If an operator has established the policies and procedures mentioned in subsection (2), the operator shall give the excluded person written notice advising that, while the person is prohibited from entering casinos in Saskatchewan:
 - (a) the person is not entitled to, and forfeits to the operator, any prizes of a lottery scheme carried on in the operator's casinos; and
 - (b) the operator shall retain any prizes of a lottery scheme carried on in the operator's casinos that would otherwise be awarded to that person.
- (4) If an excluded person has been given written notice pursuant to subsection (3), while the person is prohibited from entering casinos in Saskatchewan:
 - (a) the person is not entitled to, and forfeits to the operator, any prizes of a lottery scheme carried on in the operator's casinos; and
 - (b) the operator shall retain any prizes of a lottery scheme carried on in the operator's casinos that would otherwise be awarded to that person.

(5) If more than one operator has established the policies and procedures mentioned in subsection (2), each operator shall enforce in its casinos the policies and procedures of the other operator with respect to excluded persons in the same manner as it enforces its own policies and procedures with respect to excluded persons.

19 Jne 2015 SR 57/2015 s9.

PART III Fees and Administrative Penalties

Licences

- **9**(1) Every holder of a class A charitable gaming event licence shall pay an annual fee of \$1,000 to the authority.
- (2) Subject to subsection (3), for each of the following licences, every applicant shall pay an application fee of \$50 to the authority:
 - (a) a class B charitable gaming event licence;
 - (b) a class C charitable gaming event licence;
 - (c) a licence to conduct and manage a break-open lottery;
 - (d) a licence to conduct and manage a raffle in which the total value of all prizes to be awarded in the raffle will exceed \$2,500;
 - (e) a licence to conduct and manage monte carlo;
 - (f) a licence to conduct and manage Texas Hold 'em poker;
 - (g) an exhibition table games licence.
- (3) The authority may reduce the fee mentioned in subsection (2) by 20% if the application for the licence is completed and submitted to the authority through the authority's online services.
- (4) For the purposes of clause 141(1)(b) of the Act, a local authority may issue a licence to conduct and manage a raffle in which the total value of all prizes to be awarded in the raffle will not exceed \$2,500.
- (5) A local authority shall not charge more than \$20 for the issuance of the licence mentioned in subsection (4).
- (6) The authority shall not charge a fee for the issuance of a licence to conduct and manage a raffle in which the total value of all prizes to be awarded in the raffle will not exceed \$2,500.

 $4~{\rm Aug}~2017~{\rm SR}~79/2017~{\rm s5}.$

Certificates of registration

- **10**(1) Subject to subsections (2) and (3), the annual fee to be paid to the authority for a certificate of registration is as follows:
 - (a) \$50 for a gaming employee:
 - (b) \$50 for a gaming director;
 - (c) \$1,000 for a supplier.

- (2) The authority may waive the fee required pursuant to clause (1)(c) if the supplier:
 - (a) supplies gaming supplies or services or non-gaming supplies or services having a value that is less than \$10,000 on an annual basis; and
 - (b) is not otherwise exempt from registration pursuant to these regulations.
- (3) The authority may reduce the fee mentioned in subsection (1) by 20% if the application for the certificate of registration is completed and submitted to the authority through the authority's online services.
- (4) The fee for the replacement of a lost certificate of registration is \$10.

4 Aug 2017 SR 79/2017 s5.

Administrative penalties

- **11**(1) For the purposes of section 39.1 of the Act, any penalty assessed by the authority or the commission against a registrant must be not less than \$100.
- (2) For the purposes of section 147.07 of the Act, any penalty assessed by a First Nation gaming licensing authority or the commission against an on-reserve registrant must be not less than \$100.

19 Jne 2015 SR 57/2015 s11.

PART IV Forms

Forms

- **12**(1) An application for review pursuant to section 30 of the Act is to be substantially in Form A of the Appendix.
- (2) An application for an oral hearing pursuant to section 33, 34.1 or 39.1 of the Act is to be substantially in Form B of the Appendix.

 $19 \ \mathrm{Oct} \ 2007 \ \mathrm{cA}\text{-}18.011 \ \mathrm{Reg} \ 5 \ \mathrm{s}12.$

$\begin{array}{c} {\rm PART~V} \\ {\rm \textbf{Repeal and Coming into Force}} \end{array}$

R.R.S. c.A-18.011 Reg 2 repealed

13 The Gaming Regulations, 2002 are repealed.

19 Oct 2007 cA-18.011 Reg 5 s13.

Coming into force

- 14(1) Subject to subsection (2), these regulations come into force on the day on which the definition of "gaming employee" in clause 3(b) of *The Alcohol and Gaming Regulation Amendment Act*, 2002 (No.2) comes into force.
- (2) If these regulations are filed with the Registrar of Regulations after the day on which the definition of "gaming employee" in clause 3(b) of *The Alcohol and Gaming Regulation Amendment Act, 2002 (No.2)* comes into force, these regulations come into force on the day on which they are filed with the Registrar of Regulations.

19 Oct 2007 cA-18.011 Reg 5 s14.

To:

The Liquor and Gaming Licensing Commission

Appendix

FORM A

[Section 30 of the Act]

Application for Review of a Decision of the Authority or a First Nation Gaming Licensing Authority

(name of organization or individual) requests a review of: the authority's decision the First Nation Gaming Licensing Authority's decision for the following reason(s): The applicant wishes to have an oral hearing before the commission; OR The applicant does not wish to have an oral hearing before the commission but will provide written submissions. Contact person: ___ Address: _ Telephone No.: [Residence] _____ [Business] ___ Signature Date FOR OFFICE USE ONLY Date: _ Denied: _ Forwarded:

FORM B [Section 33, 34.1 or 39.1 of the Act]

Application for an Oral Hearing

 $19 \; \mathrm{Oct} \; 2007 \; \mathrm{cA}\text{-}18.011 \; \mathrm{Reg} \; 5.$