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PART II/PARTIE II

REVISED REGULATIONS OF SASKATCHEWAN/ RÈGLEMENTS RÉVISÉS DE LA SASKATCHEWAN

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SASKATCHEWAN REGULATIONS 128/2021*The Cities Act*

Sections 192, 193, 193.1 and 194

Order in Council 623/2021, dated December 16, 2021

(Filed December 16, 2021)

Title

1 These regulations may be cited as *The Cities (Boards of Revision) Amendment Regulations, 2021*.

RRS c C-11.1 Reg 1, New Part III.1

2 *The Cities Regulations* are amended by adding the following Part after Part III:

“PART III.1
Boards of Revision

“Provincial Registrar of boards of revision

17.1(1) The Office of the Registrar is established pursuant to section 193.1 of the Act as the certification body for all boards of revision in Saskatchewan.

(2) Applications for board of revision certification may be made to the Office of the Registrar on and after June 1, 2022.

(3) The Office of the Registrar may certify a board of revision to hear property assessment appeals if the Office of the Registrar is satisfied that:

(a) each member of the board of revision and the secretary of the board of revision have completed the relevant training approved by the minister with respect to property assessment appeals; and

(b) the board of revision has met all other certification requirements set by the minister, if any.

(4) Certification of a board of revision expires on the date set out in the certification notice or on the occurrence of one of the following events:

(a) more than half of the members of the board have resigned or been replaced by new members;

(b) the board of revision no longer meets the certification requirements set by the minister pursuant to clause (3)(b), if any.

(5) The Office of the Registrar may enter into an agreement with a third party to provide training for board of revision members that is approved by the minister with respect to property assessment appeals.

(6) The Office of the Registrar may require a third party with which it has a current or past agreement to provide training as mentioned in subsection (5) to provide a list of all board of revision members who have started or completed training with that third party.

(7) The Office of the Registrar, for the purposes of carrying out its functions, may collect information from boards of revision, including the following:

- (a) a list of municipalities for which a board of revision has been appointed;
- (b) statistics on property assessment appeals and board decisions for a specified period;
- (c) any other information with respect to property assessment appeals and board of revision activities requested by the Office of the Registrar.

(8) The Office of the Registrar, for the purposes of carrying out its functions, may collect information from municipalities, including the following:

- (a) the names of the members of the board of revision appointed by a municipality;
- (b) statistics respecting assessment value change for a specified period, including any agreements to adjust the assessment value pursuant to section 204 of the Act;
- (c) any appeal fees set by a council pursuant to section 196 of the Act for a specified period;
- (d) any expenditures with respect to a board of revision incurred by a municipality for a specified period;
- (e) any other information with respect to property assessment appeals and board of revision activities requested by the Office of the Registrar.

(9) The minister may request that the Office of the Registrar provide the minister with a written report respecting any matter concerning the Office of the Registrar, and the Office of the Registrar shall provide that report within the period specified by the minister.

(10) The minister may require the Office of the Registrar to make publicly available, in any manner that the minister considers appropriate, any information respecting any matter concerning the Office of the Registrar, including a list of certified boards of revision.

(11) If the minister establishes certification requirements for the purposes of clause (3)(b), the Office of the Registrar shall cause those requirements to be made public in any manner that it considers appropriate.

“Certification of boards of revision

17.2(1) Every board of revision must be certified by the Office of the Registrar in accordance with section 17.1 to hear property assessment appeals commencing with the 2023 taxation year.

(2) A council shall ensure that its appointed board of revision is certified by the Office of the Registrar pursuant to subsection 17.1(3) before any property assessment appeal is heard with respect to that council’s municipality by that board of revision.

- (3) A board of revision is eligible to apply to the Office of the Registrar for certification only after:
- (a) each member of the board of revision and the secretary of the board of revision have completed the relevant training approved by the minister with respect to property assessment appeals; and
 - (b) the board of revision has met all other certification requirements set by the minister pursuant to clause 17.1(3)(b), if any.
- (4) A board of revision must apply to the Office of the Registrar for certification:
- (a) within 30 days after being appointed by a council and not later than the day on which the assessment roll is prepared in any municipality for which the board of revision is appointed; or
 - (b) if the certification of the board of revision expires pursuant to subsection 17.1(4), before hearing a property assessment appeal.

“Board of revision appointment

17.3 A resolution passed by a council pursuant to section 192 of the Act appointing persons to the board of revision for the municipality must be submitted to the Office of the Registrar immediately after the meeting at which it was passed and not later than the date on which the assessment roll is prepared”.

Coming into force

3(1) Subject to subsection (2), these regulations come into force on the day on which section 2-48 of *The Miscellaneous Municipal Statutes Amendment Act, 2020* comes into force.

(2) If these regulations are filed with the Registrar of Regulations after the day on which section 2-48 of *The Miscellaneous Municipal Statutes Amendment Act, 2020* comes into force, these regulations come into force on the day on which they are filed with the Registrar of Regulations.

SASKATCHEWAN REGULATIONS 129/2021*The Municipalities Act*

Sections 220, 221, 221.1 and 222

Order in Council 624/2021, dated December 16, 2021

(Filed December 16, 2021)

Title

1 These regulations may be cited as *The Municipalities (Boards of Revision) Amendment Regulations, 2021*.

RRS c M-36.1 Reg 1, new Part V.1

2 *The Municipalities Regulations* are amended by adding the following Part after Part V:

“PART V.1
Boards of Revision

“Provincial Registrar of boards of revision

44.1(1) The Office of the Registrar is established pursuant to section 221.1 of the Act as the certification body for all boards of revision in Saskatchewan.

(2) Applications for board of revision certification may be made to the Office of the Registrar on and after June 1, 2022.

(3) The Office of the Registrar may certify a board of revision to hear property assessment appeals if the Office of the Registrar is satisfied that:

(a) each member of the board of revision and the secretary of the board of revision have completed the relevant training approved by the minister with respect to property assessment appeals; and

(b) the board of revision has met all other certification requirements set by the minister, if any.

(4) Certification of a board of revision expires on the date set out in the certification notice or on the occurrence of one of the following events:

(a) more than half of the members of the board have resigned or been replaced by new members;

(b) the board of revision no longer meets the certification requirements set by the minister pursuant to clause (3)(b), if any.

(5) The Office of the Registrar may enter into an agreement with a third party to provide training for board of revision members that is approved by the minister with respect to property assessment appeals.

(6) The Office of the Registrar may require a third party with which it has a current or past agreement to provide training as mentioned in subsection (5) to provide a list of all board of revision members who have started or completed training with that third party.

(7) The Office of the Registrar, for the purposes of carrying out its functions, may collect information from boards of revision, including the following:

- (a) a list of municipalities for which a board of revision has been appointed;
- (b) statistics on property assessment appeals and board decisions for a specified period;
- (c) any other information with respect to property assessment appeals and board of revision activities requested by the Office of the Registrar.

(8) The Office of the Registrar, for the purposes of carrying out its functions, may collect information from municipalities, including the following:

- (a) the names of the members of the board of revision appointed by a municipality;
- (b) statistics respecting assessment value change for a specified period, including any agreements to adjust the assessment value pursuant to section 228 of the Act;
- (c) any appeal fees set by a council pursuant to section 224 of the Act for a specified period;
- (d) any expenditures with respect to a board of revision incurred by a municipality for a specified period;
- (e) any other information with respect to property assessment appeals and board of revision activities requested by the Office of the Registrar.

(9) The minister may request that the Office of the Registrar provide the minister with a written report respecting any matter concerning the Office of the Registrar, and the Office of the Registrar shall provide that report within the period specified by the minister.

(10) The minister may require the Office of the Registrar to make publicly available, in any manner that the minister considers appropriate, any information respecting any matter concerning the Office of the Registrar, including a list of certified boards of revision.

(11) If the minister establishes certification requirements for the purposes of clause (3)(b), the Office of the Registrar shall cause those requirements to be made public in any manner that it considers appropriate.

“Certification of boards of revision

44.2(1) Every board of revision must be certified by the Office of the Registrar in accordance with section 44.1 to hear property assessment appeals commencing with the 2023 taxation year.

(2) A council shall ensure that its appointed board of revision is certified by the Office of the Registrar pursuant to subsection 44.1(3) before any property assessment appeal is heard with respect to that council’s municipality by that board of revision.

(3) A board of revision is eligible to apply to the Office of the Registrar for certification only after:

- (a) each member of the board of revision and the secretary of the board of revision have completed the relevant training approved by the minister with respect to property assessment appeals; and
- (b) the board of revision has met all other certification requirements set by the minister pursuant to clause 44.1(3)(b), if any.

(4) A board of revision must apply to the Office of the Registrar for certification:

- (a) within 30 days after being appointed by a council and not later than the day on which the assessment roll is prepared in any municipality for which the board of revision is appointed; or
- (b) if the certification of the board of revision expires pursuant to subsection 44.1(4), before hearing a property assessment appeal.

“Board of revision appointment

44.3 A resolution passed by a council pursuant to section 220 of the Act appointing persons to the board of revision for the municipality must be submitted to the Office of the Registrar immediately after the meeting at which it was passed and not later than the date on which the assessment roll is prepared”.

Coming into force

3(1) Subject to subsection (2), these regulations come into force on the day on which section 3-51 of *The Miscellaneous Municipal Statutes Amendment Act, 2020* comes into force.

(2) If these regulations are filed with the Registrar of Regulations after the day on which section 3-51 of *The Miscellaneous Municipal Statutes Amendment Act, 2020* comes into force, these regulations come into force on the day on which they are filed with the Registrar of Regulations.

SASKATCHEWAN REGULATIONS 130/2021*The Northern Municipalities Act, 2010*

Sections 241, 242, 242.1 and 243

Order in Council 625/2021, dated December 16, 2021

(Filed December 16, 2021)

Title

1 These regulations may be cited as *The Northern Municipalities (Boards of Revision) Amendment Regulations, 2021*.

RRS c N-5.2 Reg 1, new Part V.1

2 *The Northern Municipalities Regulations* are amended by adding the following Part after Part V:

“PART V.1
Boards of Revision

“Provincial Registrar of boards of revision

32.1(1) The Office of the Registrar is established pursuant to section 242.1 of the Act as the certification body for all boards of revision in Saskatchewan.

(2) Applications for board of revision certification may be made to the Office of the Registrar on and after June 1, 2022.

(3) The Office of the Registrar may certify a board of revision to hear property assessment appeals if the Office of the Registrar is satisfied that:

(a) each member of the board of revision and the secretary of the board of revision have completed the relevant training approved by the minister with respect to property assessment appeals; and

(b) the board of revision has met all other certification requirements set by the minister, if any.

(4) Certification of a board of revision expires on the date set out in the certification notice or on the occurrence of one of the following events:

(a) more than half of the members of the board have resigned or been replaced by new members;

(b) the board of revision no longer meets the certification requirements set by the minister pursuant to clause (3)(b), if any.

(5) The Office of the Registrar may enter into an agreement with a third party to provide training for board of revision members that is approved by the minister with respect to property assessment appeals.

(6) The Office of the Registrar may require a third party with which it has a current or past agreement to provide training as mentioned in subsection (5) to provide a list of all board of revision members who have started or completed training with that third party.

(7) The Office of the Registrar, for the purposes of carrying out its functions, may collect information from boards of revision, including the following:

- (a) a list of municipalities for which a board of revision has been appointed;
- (b) statistics on property assessment appeals and board decisions for a specified period;
- (c) any other information with respect to property assessment appeals and board of revision activities requested by the Office of the Registrar.

(8) The Office of the Registrar, for the purposes of carrying out its functions, may collect information from municipalities, including the following:

- (a) the names of the members of the board of revision appointed by a municipality;
- (b) statistics respecting assessment value change for a specified period, including any agreements to adjust the assessment value pursuant to section 249 of the Act;
- (c) any appeal fees set by a council pursuant to section 245 of the Act for a specified period;
- (d) any expenditures with respect to a board of revision incurred by a municipality for a specified period;
- (e) any other information with respect to property assessment appeals and board of revision activities requested by the Office of the Registrar.

(9) The minister may request that the Office of the Registrar provide the minister with a written report respecting any matter concerning the Office of the Registrar, and the Office of the Registrar shall provide that report within the period specified by the minister.

(10) The minister may require the Office of the Registrar to make publicly available, in any manner that the minister considers appropriate, any information respecting any matter concerning the Office of the Registrar, including a list of certified boards of revision.

(11) If the minister establishes certification requirements for the purposes of clause (3)(b), the Office of the Registrar shall cause those requirements to be made public in any manner that it considers appropriate.

“Certification of boards of revision

32.2(1) Every board of revision must be certified by the Office of the Registrar in accordance with section 32.1 to hear property assessment appeals commencing with the 2023 taxation year.

(2) A council shall ensure that its appointed board of revision is certified by the Office of the Registrar pursuant to subsection 32.1(3) before any property assessment appeal is heard with respect to that council’s municipality by that board of revision.

(3) A board of revision is eligible to apply to the Office of the Registrar for certification only after:

(a) each member of the board of revision and the secretary of the board of revision have completed the relevant training approved by the minister with respect to property assessment appeals; and

(b) the board of revision has met all other certification requirements set by the minister pursuant to clause 32.1(3)(b), if any.

(4) A board of revision must apply to the Office of the Registrar for certification:

(a) within 30 days after being appointed by a council and not later than the day on which the assessment roll is prepared in any municipality for which the board of revision is appointed; or

(b) if the certification of the board of revision expires pursuant to subsection 32.1(4), before hearing a property assessment appeal.

“Board of revision appointment

32.3 A resolution passed by a council pursuant to section 241 of the Act appointing persons to the board of revision for the municipality must be submitted to the Office of the Registrar immediately after the meeting at which it was passed and not later than the date on which the assessment roll is prepared”.

Coming into force

3(1) Subject to subsection (2), these regulations come into force on the day on which section 4-42 of *The Miscellaneous Municipal Statutes Amendment Act, 2020* comes into force.

(2) If these regulations are filed with the Registrar of Regulations after the day on which section 4-42 of *The Miscellaneous Municipal Statutes Amendment Act, 2020* comes into force, these regulations come into force on the day on which they are filed with the Registrar of Regulations.

SASKATCHEWAN REGULATIONS 131/2021*The Securities Act, 1988*

Section 154

Commission Order, dated December 2, 2021

and

Minister's Order, dated December 7, 2021

(Filed December 17, 2021)

Title

1 These regulations may be cited as *The Securities Commission (Adoption of National Instruments) (NI 31-103) Amendment Regulations, 2021*.

RRS c S-42.2 Reg 3 amended

2 *The Securities Commission (Adoption of National Instruments) Regulations* are amended in the manner set forth in these regulations.

Part XLIX amended

3(1) Part XLIX of the Appendix is amended in the manner set forth in this section.

(2) Section 1.1 is amended by adding the following definitions in alphabetical order:

“**financial exploitation**” means the use or control of, or deprivation of the use or control of, a financial asset of an individual by a person or company through undue influence, unlawful conduct or another wrongful act;

“**temporary hold**” means a hold that is placed on the purchase or sale of a security on behalf of a client or on the withdrawal or transfer of cash or securities from a client's account;

“**trusted contact person**” means an individual identified by a client to a registrant whom the registrant may contact in accordance with the client's written consent;

“**vulnerable client**” means a client who might have an illness, impairment, disability or aging-process limitation that places the client at risk of financial exploitation.”

(3) Subsection 11.5(2) is amended:

(a) by repealing paragraph (l) and substituting the following:

“(l) demonstrate compliance with sections 13.2, 13.2.01, 13.2.1 and 13.3.”;

(b) in paragraph (r) by striking out “.” and substituting “;”; and

(c) by adding the following paragraph after paragraph (r):

“(s) demonstrate compliance with section 13.19.”.

(4) The following section is added before section 13.2.1:

“13.2.01 Know your client - trusted contact person

- (1) Concurrently with taking the reasonable steps required under subsection 13.2(2), a registrant must take reasonable steps to obtain from the client the name and contact information of a trusted contact person, and the written consent of the client for the registrant to contact the trusted contact person to confirm or make inquiries about any of the following:
 - (a) the registrant’s concerns about possible financial exploitation of the client;
 - (b) the registrant’s concerns about the client’s mental capacity as it relates to the ability of the client to make decisions involving financial matters;
 - (c) the name and contact information of a legal representative of the client, if any;
 - (d) the client’s contact information.
- (2) A registrant must take reasonable steps to keep current the information required under this section, including updating that information within a reasonable time after the registrant becomes aware of a significant change in the client’s information required under subparagraph 13.2(2)(c)(i).
- (3) This section does not apply to a registrant in respect of a client that is not an individual.”.

(5) The following division is added after section 13.18:

“Division 8 Temporary holds

“13.19 Conditions for temporary hold

- (1) A registered firm, or a registered individual whose registration is sponsored by the registered firm, must not place a temporary hold on the basis of financial exploitation of a vulnerable client unless the firm reasonably believes all of the following:
 - (a) the client is a vulnerable client;
 - (b) financial exploitation of the client has occurred, is occurring, has been attempted or will be attempted.
- (2) A registered firm, or a registered individual whose registration is sponsored by the registered firm, must not place a temporary hold on the basis of a client’s lack of mental capacity unless the firm reasonably believes that the client does not have the mental capacity to make decisions involving financial matters.
- (3) If a registered firm or a registered individual places a temporary hold referred to in subsection (1) or (2), the firm must do all of the following:
 - (a) document the facts and reasons that caused the firm or individual to place and, if applicable, to continue the temporary hold;

- (b) provide notice of the temporary hold and the reasons for the temporary hold to the client as soon as possible after placing the temporary hold;
- (c) review the relevant facts as soon as possible after placing the temporary hold, and on a reasonably frequent basis, to determine if continuing the hold is appropriate;
- (d) within 30 days of placing the temporary hold and, until the hold is revoked, within every subsequent 30-day period, do either of the following:
 - (i) revoke the temporary hold;
 - (ii) provide the client with notice of the firm's decision to continue the hold and the reasons for that decision."

(6) Subsection 14.2(2) is amended:

(a) by adding the following paragraph after paragraph (l):

"(l.1) a description of the circumstances under which a registrant might disclose information about the client or the client's account to a trusted contact person referred to in subsection 13.2.01(1);";

(b) in paragraph (o) by striking out "." and substituting ","; and

(c) by adding the following paragraph after paragraph (o):

"(p) a general explanation of the circumstances under which a registered firm or registered individual may place a temporary hold under section 13.19 and a description of the notice that will be given to the client if a temporary hold is placed or continued under that section."

Coming into force

4(1) Subject to subsection (2), these regulations come into force on December 31, 2021.

(2) If these regulations are filed with the Registrar of Regulations after December 31, 2021, these regulations come into force on the day on which they are filed with the Registrar of Regulations.

